

State of California
Secretary of State

CERTIFICATE OF STATUS

ENTITY NAME:

CAL POLY POMONA FOUNDATION, INC.

FILE NUMBER: C0505207
FORMATION DATE: 02/28/1966
TYPE: DOMESTIC NONPROFIT CORPORATION
JURISDICTION: CALIFORNIA
STATUS: ACTIVE (GOOD STANDING)

I, ALEX PADILLA, Secretary of State of the State of California,
hereby certify:

The records of this office indicate the entity is authorized to
exercise all of its powers, rights and privileges in the State of
California.

No information is available from this office regarding the financial
condition, business activities or practices of the entity.



IN WITNESS WHEREOF, I execute this certificate
and affix the Great Seal of the State of
California this day of March 30, 2016.

ALEX PADILLA
Secretary of State



State of California Secretary of State

N

Statement of Information

(Domestic Nonprofit, Credit Union and General Cooperative Corporations)

Filing Fee: \$20.00. If this is an amendment, see instructions.

IMPORTANT – READ INSTRUCTIONS BEFORE COMPLETING THIS FORM

FC99170

FILED

In the office of the Secretary of State
of the State of California

APR-06 2016

1. CORPORATE NAME

CAL POLY POMONA FOUNDATION, INC.

2. CALIFORNIA CORPORATE NUMBER

C0505207

This Space for Filing Use Only

Complete Principal Office Address (Do not abbreviate the name of the city. Item 3 cannot be a P.O. Box.)

3. STREET ADDRESS OF PRINCIPAL OFFICE IN CALIFORNIA, IF ANY	CITY	STATE	ZIP CODE
3801 W. TEMPLE AVENUE BUILDING 55, POMONA, CA 91768			

4. MAILING ADDRESS OF THE CORPORATION	CITY	STATE	ZIP CODE

Names and Complete Addresses of the Following Officers (The corporation must list these three officers. A comparable title for the specific officer may be added; however, the preprinted titles on this form must not be altered.)

5. CHIEF EXECUTIVE OFFICER/	ADDRESS	CITY	STATE	ZIP CODE
SORAYA M COLEY	3801 W. TEMPLE AVENUE, BUILDING 55, POMONA, CA 91768			

6. SECRETARY	ADDRESS	CITY	STATE	ZIP CODE
SAMIR ANZ	3801 W. TEMPLE AVENUE, BUILDING 55, POMONA, CA 91768			

7. CHIEF FINANCIAL OFFICER/	ADDRESS	CITY	STATE	ZIP CODE
SAMIR ANZ	3801 W. TEMPLE AVENUE, BUILDING 55, POMONA, CA 91768			

Agent for Service of Process If the agent is an individual, the agent must reside in California and Item 9 must be completed with a California street address, a P.O. Box address is not acceptable. If the agent is another corporation, the agent must have on file with the California Secretary of State a certificate pursuant to California Corporations Code section 1505 and Item 9 must be left blank.

8. NAME OF AGENT FOR SERVICE OF PROCESS [Note: The person designated as the corporation's agent MUST have agreed to act in that capacity prior to the designation.]
G. PAUL STOREY

9. STREET ADDRESS OF AGENT FOR SERVICE OF PROCESS IN CALIFORNIA, IF AN INDIVIDUAL	CITY	STATE	ZIP CODE
CAL POLY POMONA FOUNDATION, INC. 3801 W. TEMPLE AVENUE, BUILDING 55, POMONA, CA 91768			

Common Interest Developments

10. Check here if the corporation is an association formed to manage a common interest development under the Davis-Stirling Common Interest Development Act, (California Civil Code section 4000, et seq.) or under the Commercial and Industrial Common Interest Development Act, (California Civil Code section 6500, et seq.). The corporation must file a Statement by Common Interest Development Association (Form SI-CID) as required by California Civil Code sections 5405(a) and 6760(a). Please see instructions on the reverse side of this form.

11. THE INFORMATION CONTAINED HEREIN IS TRUE AND CORRECT.

04/06/2016	DAVID F PRENOVOST	CFO	
DATE	TYPE/PRINT NAME OF PERSON COMPLETING FORM	TITLE	SIGNATURE



I hereby certify that the foregoing transcript of 1 page(s) is a full, true and correct copy of the original record in the custody of the California Secretary of State's office.

MAR 06 2013 *ms*

Date: _____

Debra Bowen
DEBRA BOWEN, Secretary of State



Secretary of State
Business Programs Division

Business Entities - Records, P.O. Box 944260, Sacramento, CA 94244-2600

Corporation Status Inquiry

In response to your request, enclosed is a screen print of the entity record. Please see below for an explanation of the information contained in a screen print and see the reverse side of this notice for a sample of a screen print.

1. **NAME** – The *domestic* entity or *foreign* entity name as formed, qualified or converted in California.
2. **CORP NO** – The identification number assigned by the California Secretary of State.
3. **INC. DATE** – The date of formation of the *domestic* (California) entity or the date of qualification of the *foreign* (not California) entity doing business in California. If the date is blank, refer to field 13 for the date another business entity converted to this *domestic* entity.
4. **STATUS** – The status code for the entity, described as follows:
 - **ACTIVE** – The *domestic* entity is authorized to carry out its business activities in California or the *foreign* entity is authorized to transact intrastate business in California.
 - **FTB SUSP** (*domestic* entity only) – The *domestic* entity's powers, rights and privileges were suspended in California by the California Franchise Tax Board for failure to meet franchise tax requirements (e.g., failure to file a return, pay taxes, etc.).
 - **FTB FRFT** (*foreign* entity only)– The *foreign* entity's powers, rights and privileges were forfeited in California by the California Franchise Tax Board for failure to meet franchise tax requirements (e.g., failure to file a return, pay taxes, etc.).
 - **SOS SUSP** (*domestic* entity only) – The *domestic* entity's powers, rights and privileges were suspended in California by the California Secretary of State for failure to file the required Statement of Information.
 - **SOS FRFT** (*foreign* entity only)– The *foreign* entity's powers, rights and privileges were forfeited in California by the California Secretary of State for failure to file the required Statement of Information.
 - **SOS/FTB SP** (*domestic* entity only) – The *domestic* entity's powers, rights and privileges were suspended in California by the California Secretary of State for failure to file the required Statement of Information and by the California Franchise Tax Board for failure to meet franchise tax requirements (e.g., failure to file a return, pay taxes, etc.).
 - **SOS/FTB FT** (*foreign* entity only)– The *foreign* entity's powers, rights and privileges were forfeited in California by the California Secretary of State for failure to file the required Statement of Information and by the California Franchise Tax Board for failure to meet franchise tax requirements (e.g., failure to file a return, pay taxes, etc.).
 - **DISSOLVED** (*domestic* entity only) – The *domestic* entity's powers, rights and privileges have ceased in California.
 - **MERGED OUT** – The entity merged into another *domestic* or *foreign* business entity.
 - **CONV OUT** – The entity converted to another type of business entity.
 - **INACTIVE** – In most cases, the entity converted to a federal entity or consolidated with another entity to form a single entity.
 - **TERM EXP** (*domestic* entity only) – The *domestic* entity's term of existence expired.
 - **SURRENDER** (*foreign* entity only) – The *foreign* entity is no longer authorized to transact intrastate business in California.
 - **CANCELLED** – The *domestic* entity's formation filing or the *foreign* entity's qualification filing was cancelled by the California Secretary of State because the payment for the filing fee was not honored by the financial institution.
5. The type of entity (domestic stock corporation, domestic nonprofit corporation, foreign stock corporation, foreign nonprofit corporation or foreign association).
6. **CLASS** (*domestic* nonprofit corporation only) – The statutory classification assigned to a *domestic* nonprofit corporation based on the corporation documents of record. PB = Public Benefit, MU = Mutual Benefit, RE = Religious, SL = Corporation Sole, OC = Cooperative, AG = Agricultural cooperative and CR = credit union. If the classification code is UN, the corporation has not been classified by the California Secretary of State.
7. **CID** (*domestic* nonprofit corporation only) will display if the corporation is formed to manage a common interest development.
8. **NO OF PAGES** – The number of pages of the *domestic* entity's formation documents or of the *foreign* entity's qualification documents filed with the California Secretary of State. If "00" is displayed, the information is not contained in the database.

9. **ST/CTRY** – The state or place under which laws the entity was organized.
10. **RECENT SI** – The type, file date and document number for the most recently filed Statement of Information. “C” is a *complete* Statement of Information and “N” is a *no change* Statement of Information. A Statement of Information is a document filed with the California Secretary of State containing information such as entity addresses, names and addresses of officers and/or directors, and the name and address of the agent for service of process.
11. **PRIOR COMPLETE SI** – The type, file date and document number for the complete Statement of Information that was filed before the **RECENT SI**.
12. **CID STATEMENT** – The file date and document number for the most recently filed Statement by Common Interest Development Association.
13. **CONVERSION DATE** – The date another business entity converted to this *domestic* entity.
14. **PRINCIPAL EXECUTIVE ADDR** – The address of the entity's principal executive office.
15. **CALIFORNIA ADDRESS** – The address of the entity's office in California.
16. **MAILING ADDRESS** – The mailing address of the entity.
17. **CEO** – The name and address of the entity's chief executive officer.
18. **AGENT** – The name and address of the entity's designated agent for service of process. The agent can be an individual or a corporation. If a *foreign* entity's status is **SURRENDER**, the agent for service of process is automatically revoked.
19. **TYPE OF BUSINESS** – The type of business in which the entity is engaged.

Example Screen Print

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STATUS INQUIRY

NAME 1

CORP NO 2
5
INC. DATE 3
NO OF PAGES 8
CLASS 6
STATUS 4
7
ST/CTRY 9

STMT OF INFO (SI) RECENT SI 10 DATE NO
PRIOR COMPLETE SI 11 DATE NO
CID STATEMENT 12 DATE NO
CONVERSION DATE 13

PRINCIPAL EXECUTIVE ADDR 14
CITY/ST/CNTRY
CALIFORNIA ADDRESS 15 ZIP
MAILING ADDRESS CITY CA
CITY/ST/CNTRY ZIP
CEO NAME ADDRESS 17
CITY/ST/CNTRY ZIP
AGENT NAME ADDRESS 18
CITY CA
TYPE OF BUSINESS 19

ENTR=CONTINUE PF2=HISTORY PF3=BACK TO WORKSCREEN PF10=MAIN MENU

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Blank spaces indicate the information has not been entered onto the system or is not contained in the entity's record.

A screen print of the entity's history is included if the entity is both California Secretary of State and California Franchise Tax Board suspended or forfeited to provide both suspension dates.

Instructions and fees for ordering certificates and copies of filed documents for a business entity are available on the California Secretary of State's website at www.sos.ca.gov/business/be.

Questions about relief from a California Secretary of State suspension or forfeiture should be directed to the Secretary of State, Statement of Information Unit, P.O. Box 944230, Sacramento CA 94244-2300, (916) 657-5448. Questions about relief from a California Franchise Tax Board suspension or forfeiture should be directed to the Franchise Tax Board, Attention: Revivor Unit, P.O. Box 942857, Sacramento CA 94257-2021, (800) 852-5711.

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STATUS INQUIRY

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NAME CAL POLY POMONA FOUNDATION, INC.

CORP NO C0505207 INC. DATE 02/28/1966 STATUS ACTIVE
DOMESTIC NONPROFIT CLASS PB
NO OF PAGES 07 ST/CTRY

STMT OF INFO (SI) RECENT SI C DATE 12/05/11 NO EH60879
PRIOR COMPLETE SI C DATE 01/07/10 NO E897944

PRINCIPAL EXECUTIVE ADDR 3801. W TEMPLE AVENUE BUILDING 55

CITY/ST/CNTRY POMONA CALIFORNIA
ZIP 91768

CALIFORNIA ADDRESS

MAILING ADDRESS CITY CA

3801. W TEMPLE AVENUE BUILDING 55

CITY/ST/CNTRY POMONA CALIFORNIA
ZIP 91768

CEO NAME DR. J MICHAEL ORTIZ
ADDRESS 3801 W TEMPLE AVENUE BLDG 55

CITY/ST/CNTRY POMONA CA
ZIP 91768

AGENT NAME G. PAUL STOREY
ADDRESS 3801 W TEMPLE AVENUE BLDG 55

TYPE OF BUSINESS CITY POMONA CA 91768

ENTR=CONTINUE PF2=HISTORY PF3=BACK TO WORKSCREEN PF10=MAIN MENU